

Prudential Job Openings dated 3-8-16

LAW

VP & Chief Counsel - Center for Next Generation Growth - DIG0002N

Legal

Newark, NJ

We are seeking a highly-talented and entrepreneurial lawyer to serve as the primary attorney for the Center. The ideal candidate will have demonstrated: superior analytical skills, an ability to write and communicate clearly and simply; knowledge of the securities and insurance industries, including insurance and annuity products, broker-dealers, investment advisors, and financial planning; strong leadership and teamwork skills; appetite for learning new legal disciplines as the need arises; practical problem solving skills. The successful senior leader will work closely and collaboratively with US Business and Corporate legal teams. He or she will need to truly partner with the Center and be able to provide focused, technically sound and pragmatic legal support in connection with highly visible and strategically important work for the Center.

<http://jobs.prudential.com/job-description.php?jobReqNo=DIG0002N>

VP, Deputy Chief Legal Officer, Annuities and Individual Life Insurance - ANN00011

Legal

Shelton, CT

Prudential is seeking a dynamic and experienced attorney with management experience to work directly with the Chief Legal Officers of the Annuity and the Individual Life Insurance Law Departments. The Deputy Chief Legal Officer will manage lawyers who focus on supporting the annuities and individual life insurance businesses and will partner and engage with senior executives, key business leaders, regulators and other key stakeholders. In addition to other responsibilities, this individual will be the Chief Legal Officer of one of Prudential's broker-dealers. The position will be based in Shelton, Connecticut.

<http://jobs.prudential.com/job-description.php?jobReqNo=ANN00011>

VP, Corporate Counsel - GRO0008S

Legal

Roseland, NJ

The Group Insurance Law Department is seeking a dynamic attorney to join a highly collaborative and collegial team of lawyers and paralegals that provides legal support to the Group Insurance business unit of Prudential. Primary responsibilities of this position include advising on development of group products (including Disability, Accident and Critical Illness), agent and broker licensing, claims administration, marketing materials, product distribution, product administration/operational matters and regulatory inquiries.

<http://jobs.prudential.com/location/Roseland-NJ/VicePresidentCorporateCounsel/GRO0008S>

VP, Corporate Counsel - JEN00006

Legal

New York, NY

The Jennison Law Department is looking for an experienced asset management attorney to join a small team of lawyers that supports Jennison's business. Jennison's Law Department provides legal advice across a range of issues affecting Jennison's investment management business and works closely with investment professionals including, portfolio managers, traders, and analysts, and colleagues across Jennison's compliance, operations, marketing, relationship management and client service, and finance groups. This position will have a focus on, but not necessarily exclusively, coordinating and providing advice with respect to supporting Jennison's domestic equity and fixed income activities in addition to negotiating investment management contracts and related documentation, and other projects as described above.

<http://jobs.prudential.com/location/New%20York-NY/VicePresidentCorporateCounsel/JEN00006>

VP, Corporate Counsel - PGI00008

Legal

Newark, NJ

The Prudential Insurance Company of America (Prudential) is seeking to employ a funds and transactional attorney to represent Prudential in connection with its \$8 billion investment portfolio of alternative assets. The successful candidate will serve as principal legal advisor for Prudential's Alternative Assets Group (AAG) in all phases of buy side private equity, real estate and hedge fund investment. Responsibilities include but not limited to: representation of Prudential in the review and negotiation of limited partnership agreements; subscription agreements; drafting side letters; consents and amendments; and conducting due diligence in connection with Prudential's general account investments in private equity, real estate and hedge funds; collaborate with other Prudential attorneys on tax, ERISA, insurance company investment restrictions and other related regulatory matters relevant to alternative investments; representation of the Company in the review and negotiation of co-investments with private equity managers; and counsel the AAG respecting current legal developments affecting private equity, real estate and hedge fund investments.

<http://jobs.prudential.com/job-description.php?jobReqNo=PGI00008>

VP, Corporate Counsel - PMC0000E

Legal

Newark, NJ

Prudential Mortgage Capital Company (PMCC) seeks an experienced attorney to support its commercial real estate finance businesses. While PMCC's primary business involves the origination and servicing of commercial and agricultural loans for its affiliated insurance companies, various Agencies (Fannie Mae, Freddie Mac, FHA), and for sale in the capital markets (CMBS), PMCC is increasingly involved in structuring, marketing and establishing investment funds and other investment management arrangements for third party institutional clients.

<http://jobs.prudential.com/location/Newark-NJ/VicePresidentCorporateCounsel/PMC0000E>

VP, Corporate Counsel - PRE000CC

Legal

Madison, NJ

This attorney will fill a newly created position located in the Madison and New York offices, reporting to the Chief Counsel for the Americas, as the principal internal counsel supporting the fund formation and investment management activities of the portfolio management teams based in its U.S. and Latin American offices. In this capacity, the attorney will: serve as lead internal counsel on the structuring, marketing and establishment of new funds and other new real estate investment products; serve as lead internal counsel on day-to-day fund management and governance; provide legal support on the structuring, negotiation and establishment of joint ventures that form part of the funds investment strategies; provide legal support in relation to the ongoing management and governance of the business unit in relation to the parent company. Given the cross border nature of the business, some international travel is likely to be involved.

<http://jobs.prudential.com/location/Madison-NJ/VicePresidentCorporateCounsel/PRE000CC>

VP, Corporate Counsel - PUB0000O

Legal

Newark, NJ

Prudential is looking for an experienced structured products attorney to support Prudential Fixed Income, the primary public fixed income asset management unit within Prudential Investment Management, Inc., an SEC-registered investment adviser. Job responsibilities will include: serving as the primary attorney responsible for providing advice and support to Prudential Fixed Income's structured finance group, including the review and negotiation of complex structured

bond and loan transactions; drafting and negotiating documents in connection with the structuring and launch of CLOs for which Prudential Fixed Income serves as collateral manager; providing advice and support in connection with Prudential Fixed Incomes ABS, CMBS and bank loan trading and management; addressing issues related to litigation, troubled assets and work-outs; and providing advice regarding various regulatory matters affecting structured products. This attorney will join a team of seven attorneys supporting Prudential Fixed Income.

<http://jobs.prudential.com/location/Newark-NJ/VicePresidentCorporateCounsel/PUB0000O>

VP, Corporate Counsel - JEN00007

Legal

New York, NY

The Jennison Law Department is looking for an experienced asset management attorney with management experience to join a small team of lawyer that supports Jennisons asset management business to specifically focus on new business initiatives and the creation of new investment products and vehicles. Representative projects and responsibilities include: provide legal and regulatory advice to Jennison with respect to the structuring, distribution and development of new products and registered and unregistered investment vehicles in the US and outside the US; provide legal and regulatory advice with respect to various other types of clients and investment vehicles managed by Jennison, including but not limited to UCITS, registered and unregistered insurance separate accounts, and US and non-US pension funds; provide legal and regulatory advice to Jennison in its role as a subadviser to mutual funds and review and draft disclosures relating to Jennison in mutual fund filings; review and provide legal and regulatory advice on new regulatory developments, including working with colleagues across the Prudential Law Department in working groups and participating in industry groups; review and negotiate vendor contracts; provide legal and regulatory advice to Compliance Department on compliance issues, regulatory developments and assist in development of procedures; review and provide legal and regulatory advice on marketing materials; and other projects as assigned.

<http://jobs.prudential.com/location/New%20York-NY/VPCorporateCounsel/JEN00007>

Cyber Investigations and Incident Response Leade - INV000CO

Legal

Newark, NJ

This position requires the ability to: conduct forensic examinations of Microsoft/Apple/Linux hosts, Android/iPhone/Blackberry mobile devices, network and security devices and associated log files, conduct subject interviews, and write clear and concise findings reports for management and stakeholders. The Director provides specialized support by gathering, handling, examining, preparing, entering, searching, retrieving, identifying and/or comparing digital and/or physical evidence. The Director uses forensically sound procedures to determine results. The Director observes proper evidence custody and control procedures, documents procedures and findings

and prepares comprehensive written notes and reports. The Director will have the opportunity to identify new tools and methods for proactively identifying misconduct involving computer technology, as well as review and update the groups Standard Operations Procedures. When needed, the Director will be required to assist in the collection of electronically stored information (ESI) in support of the firms eDiscovery practices. The successful candidate will possess strong technical and interpersonal skills, will provide technical subject matter expertise and will have proven ability to handle multiple high-profile matters and to work under pressure with minimal supervision.

<http://jobs.prudential.com/job-description.php?jobReqNo=INV000CO>

Director, Corporate Counsel - TEC00038

Legal

Roseland, NJ

The Technology and Enterprise Contracts Law Group of the Prudential Law Department seeks an attorney who has been admitted to the bar for 1-4 years to join its group of attorneys and professionals that provide legal support to the Prudential Financial enterprise on technology and vendor agreements. Technology and Enterprise Contracts Law Group is part of the Operations and Systems Law Team. Under a senior attorneys guidance, this will be responsible for providing basic legal advice to Prudentials businesses and for ensuring that Prudential businesses operate in accordance with various legal parameters.

<http://jobs.prudential.com/location/Roseland-NJ/DirectorCorporateCounsel/TEC00038>

COMPLIANCE

VP, Business Ethics - GLO000DK

Compliance

Newark, NJ

A core value of Prudential is to be worthy of the trust of its customers, employees and shareholders and to further that value maintains an enterprise-wide Business Ethics & Integrity office. We are seeking a senior professional to direct the day-to-day work of this office located in our headquarters in Newark, New Jersey and will report to Prudentials Corporate Chief Ethics Officer, who works in both our Japan and New Jersey offices. The Enterprise Business Ethics Office seeks to positively influence the ethical behavior of our 50,000 employees in our various businesses in the United States and around the world. The Ethics Office is comprised of a corporate center with a staff of 9. This position supervises these professionals. In addition, the Business Ethics & Integrity office also maintains matrix relationships with business unit ethics

officers who represent each of Prudential's businesses and corporate functions. The successful candidate will bring familiarity with current practices in the area of business ethics, as well as complementary related functions including Human Resources, Risk Management, Compliance and Law. This Vice President, together with directly supervised corporate center ethics personnel and business unit ethics officers, will address multiple projects related to the ethics program.

<http://jobs.prudential.com/location/Newark-NJ/VPBusinessEthics/GLO000DK>

VP, Compliance - PRU000M7
Compliance
Newark, NJ

This position will be located in Newark, NJ. The person will support the CCO in designing and implementing a compliance program for registered investment advisers and registered investment companies that is effective in preventing, detecting and mitigating violations of applicable law or Prudential policies. The person will also support the CCO with the transfer agents compliance program. Candidates should have a deep understanding of the mutual fund business and operations. Candidates should also be well versed in mutual fund administration, transfer agent operations, and mutual fund governance. Candidates should also be skilled in assessing and drafting policies and procedures. He/she will be responsible for providing ongoing compliance support and advice for the day to day activities of PI as well as designing and implementing new strategies and initiatives. The role has responsibility for compliance monitoring, creating and implementing new policies and procedures, reporting, and forensic testing, and tracking and applying regulatory priorities and industry best practices.

<http://jobs.prudential.com/job-description.php?jobReqNo=PRU000M7>

Compliance Manager - Investment Compliance Group - INV000CK
Compliance
Newark, NJ

Asset Management Compliance currently has a Manager level position available, supporting PGIM in Newark, New Jersey. PGIM manages approximately \$947 billion in client assets, as of September 30, 2015, in multiple strategies. Asset Management Compliance is responsible for ensuring that all portfolios managed by PGIM are in compliance with applicable regulatory and contractual requirements, and for ensuring that the activities of PGIM associates are in accordance with Prudential's policies. Asset Management Compliance serves as a general resource for resolving legal and interpretive issues relating to the asset management function. The position reports to the Director of Compliance for PMCC to provide day-to-day compliance support to Prudential Mortgage Capital Company (PMCC), the private commercial mortgage investment unit of PGIM. Principal Job Responsibilities: this position provides direct, day-to-day compliance support to PMCC. This is a challenging position with high exposure and strong compliance and regulatory knowledge, attention to detail and solid project management skills are

essential. In addition, the position will assist in monitoring business activities to ensure they are performed in compliance with Company policies, securities laws, and SEC, FINRA, and applicable foreign regulations.

<http://jobs.prudential.com/location/Newark-NJ/ComplianceManager/INV000CK>

Compliance Regulatory Manager - COM00062

Compliance

Roseland, NJ

The Group Insurance Compliance Department is seeking a candidate to provide regulatory and operational support in connection with Prudentials designation as a non-bank systemically important financial institution (SIFI) under the Dodd-Frank Wall Street Reform and Consumer Protection Act. The Compliance Regulatory Manager position combines the need for strong interpersonal skills, business analytical capabilities, robust organizational skills and the ability to drive results in order to meet deliverables. This position reports to the Compliance Director, Group Insurance and will be based Dresher, PA or Roseland, NJ. Key responsibilities include: drive the ongoing enhancement of the Group Insurance Compliance Program; assist with further development and enhancement of data and metrics; drive a monitoring, testing, and surveillance Group Insurance Compliance program; creating and maintaining Standard Operating Procedures, workflows and documentation related to existing and new processes as a result of Federal Reserve supervision, working as a liaison across the compliance organization and between business and corporate center partners, Risk and Law in order to meet deliverables related to the Fed readiness efforts, documenting as-is and to-be processes; assist in preparation and delivery of ongoing training and communications to socialize process implementation; monitoring project tasks and plans with the project managers for action plans that result from process changes; prepare status reports for Business and Compliance Management.

<http://jobs.prudential.com/job-description.php?jobReqNo=COM00062>