

## **Prudential Job Openings dated 4-11-16**

### **LAW**

#### **VP, Deputy Chief Legal Officer, Annuities and Individual Life Insurance - ANN00011**

##### **Legal**

##### **Shelton, CT**

Prudential is seeking a dynamic and experienced attorney with management experience to work directly with the Chief Legal Officers of the Annuity and the Individual Life Insurance Law Departments. The Deputy Chief Legal Officer will manage lawyers who focus on supporting the annuities and individual life insurance businesses and will partner and engage with senior executives, key business leaders, regulators and other key stakeholders. In addition to other responsibilities, this individual will be the Chief Legal Officer of one of Prudential's broker-dealers. The position will be based in Shelton, Connecticut.

<http://jobs.prudential.com/job-description.php?jobReqNo=ANN00011>

#### **VP, Corporate Counsel - GRO0008S**

##### **Legal**

##### **Roseland, NJ**

The Group Insurance Law Department is seeking a dynamic attorney to join a highly collaborative and collegial team of lawyers and paralegals that provides legal support to the Group Insurance business unit of Prudential. Primary responsibilities of this position include advising on development of group products (including Disability, Accident and Critical Illness), agent and broker licensing, claims administration, marketing materials, product distribution, product administration/operational matters and regulatory inquiries.

<http://jobs.prudential.com/location/Roseland-NJ/VicePresidentCorporateCounsel/GRO0008S>

#### **VP, Corporate Counsel - JEN00006**

##### **Legal**

##### **New York, NY**

The Jennison Law Department is looking for an experienced asset management attorney to join a small team of lawyers that supports Jennison's business. Jennison's Law Department provides legal advice across a range of issues affecting Jennison's investment management business and works closely with investment professionals including, portfolio managers, traders, and analysts, and colleagues across Jennison's compliance, operations, marketing, relationship management and client service, and finance groups. This

position will have a focus on, but not necessarily exclusively, coordinating and providing advice with respect to supporting Jennison's domestic equity and fixed income activities in addition to negotiating investment management contracts and related documentation, and other projects as described above.

<http://jobs.prudential.com/location/New%20York-NY/VicePresidentCorporateCounsel/JEN00006>

**VP, Corporate Counsel - PGI00008**

**Legal**

**Newark, NJ**

The Prudential Insurance Company of America (Prudential) is seeking to employ a funds and transactional attorney to represent Prudential in connection with its \$8 billion investment portfolio of alternative assets. The successful candidate will serve as principal legal advisor for Prudentials Alternative Assets Group (AAG) in all phases of buy side private equity, real estate and hedge fund investment. Responsibilities include but not limited to: representation of Prudential in the review and negotiation of limited partnership agreements; subscription agreements; drafting side letters; consents and amendments; and conducting due diligence in connection with Prudentials general account investments in private equity, real estate and hedge funds; collaborate with other Prudential attorneys on tax, ERISA, insurance company investment restrictions and other related regulatory matters relevant to alternative investments; representation of the Company in the review and negotiation of co-investments with private equity managers; and counsel the AAG respecting current legal developments affecting private equity, real estate and hedge fund investments.

<http://jobs.prudential.com/job-description.php?jobReqNo=PGI00008>

**VP, Corporate Counsel - PMC0000E**

**Legal**

**Newark, NJ**

Prudential Mortgage Capital Company (PMCC) seeks an experienced attorney to support its commercial real estate finance businesses. While PMCC's primary business involves the origination and servicing of commercial and agricultural loans for its affiliated insurance companies, various Agencies (Fannie Mae, Freddie Mac, FHA), and for sale in the capital markets (CMBS), PMCC is increasingly involved in structuring, marketing and establishing investment funds and other investment management arrangements for third party institutional clients.

<http://jobs.prudential.com/location/Newark-NJ/VicePresidentCorporateCounsel/PMC0000E>

**VP, Corporate Counsel - BEN00007**

**Legal**

**Newark, NJ**

We are seeking an attorney in the ERISA/Benefits Law Department with private funds, retail and institutional experience to provide legal advice to Prudentials retail and institutional businesses and benefit plans on a broad range of ERISA Title I and Internal Revenue Code (Code) issues. Some responsibilities for this position include: representing the Companys retail distribution, insurance, and annuity businesses by providing advice on ERISA and Code issues; representing the Companys benefit plan fiduciaries on plan investment issues including advising on ERISA issues in connection with alternative investments (e.g., hedge funds and private equity funds) and reviewing and negotiating investment documentation (e.g., adoption agreements, group annuity contracts, etc.); and representing the Companys institutional asset management businesses by providing advice on the application of prohibited transaction exemptions and reviewing and negotiating ERISA provisions in investment management agreements, adoption agreements, leases, derivative agreements, note purchase agreements, etc.

<http://jobs.prudential.com/job-description.php?jobReqNo=BEN00007>

**VP, Corporate Counsel - CEN0003L**

**Legal**

**Newark, NJ**

We are seeking a highly talented, business savvy and experienced lawyer to serve as the lead attorney for a newly formed department --- the Center for Next Generation Growth. To succeed, you will need to be able to operate in a fast-paced start-up environment, while also possessing the ability to successfully navigate the complexities of a large organization. The Center will work closely with the US Businesses and with other functional support areas in a hub and spoke manner, depending on the nature of each opportunity. This work will augment and complement the initiatives and innovation taking place in the other US Businesses. The successful senior leader will work closely and collaboratively with US Business and Corporate legal teams. He or she will need to truly partner with the Center and be able to provide focused, technically sound and pragmatic legal support in connection with highly visible and strategically important work for the Center. The candidate can expect to practice in an environment that provides significant intellectual challenge and meaningful development opportunities. The candidate must have a JD degree and will need to obtain New Jersey and Connecticut In-House Counsel Licenses.

<http://jobs.prudential.com/job-description.php?jobReqNo=CEN0003L>

**VP, Corporate Counsel - PUB00000**

**Legal**

**Newark, NJ**

Prudential is looking for an experienced structured products attorney to support Prudential Fixed Income, the primary public fixed income asset management unit within Prudential Investment Management, Inc., an SEC-registered investment adviser. Job responsibilities will include: serving as the primary attorney responsible for providing advice and support to Prudential Fixed Incomes structured finance group, including the review and negotiation of complex structured bond and loan transactions; drafting and negotiating documents in connection with the structuring and launch of CLOs for which Prudential Fixed

Income serves as collateral manager; providing advice and support in connection with Prudential Fixed Incomes ABS, CMBS and bank loan trading and management; addressing issues related to litigation, troubled assets and work-outs; and providing advice regarding various regulatory matters affecting structured products.

This attorney will join a team of seven attorneys supporting Prudential Fixed Income.

<http://jobs.prudential.com/location/Newark-NJ/VicePresidentCorporateCounsel/PUB00000>

**VP, Corporate Counsel - JEN00007**

**Legal**

**New York, NY**

The Jennison Law Department is looking for an experienced asset management attorney with management experience to join a small team of lawyer that supports Jennisons asset management business to specifically focus on new business initiatives and the creation of new investment products and vehicles. Representative projects and responsibilities include: provide legal and regulatory advice to Jennison with respect to the structuring, distribution and development of new products and registered and unregistered investment vehicles in the US and outside the US; provide legal and regulatory advice with respect to various other types of clients and investment vehicles managed by Jennison, including but not limited to UCITS, registered and unregistered insurance separate accounts, and US and non-US pension funds; provide legal and regulatory advice to Jennison in its role as a subadviser to mutual funds and review and draft disclosures relating to Jennison in mutual fund filings; review and provide legal and regulatory advice on new regulatory developments, including working with colleagues across the Prudential Law Department in working groups and participating in industry groups; review and negotiate vendor contracts; provide legal and regulatory advice to Compliance Department on compliance issues, regulatory developments and assist in development of procedures; review and provide legal and regulatory advice on marketing materials; and other projects as assigned.

<http://jobs.prudential.com/location/New%20York-NY/VPCorporateCounsel/JEN00007>

**VP, Corporate Counsel - MER00015**

**Legal**

**Newark, NJ**

The Mergers & Acquisitions group of the Law Department (Newark, NJ) is seeking an attorney with substantial expertise in merger, acquisition and disposition-related matters. The attorney would join a small team that coordinates the delivery of all legal services in connection with strategic acquisitions, dispositions and other corporate development transactions. The attorney would work closely with Prudential's Corporate Development Team as well as with a broad array of business and functional units across Prudential. The candidate would also be expected to have a strong background in general corporate work.

<http://jobs.prudential.com/job-description.php?jobReqNo=MER00015>

**Project Manager, Resolution & Recovery - FED0000D**

**Legal**  
**Newark, NJ**

This position is a unique opportunity to learn about the company both domestically and internationally and to work directly with colleagues from a diverse set of disciplines and businesses, particularly finance professionals. As part of the cross-functional Resolution and Recovery Planning core team, the Project Manager will be part of the team that develops the Recovery and Resolution Plans. The planning involves understanding and explaining the company's material businesses and operations; as well as its interaffiliate and external financial and operational interconnections and dependences. Additionally, the Company must plan for recovery and resolution under state and foreign insurance laws as well as federal law and, as applicable, securities laws. Recovery and Resolution Planning involves not only mastering and presenting factual information under legal constructs, but also devising strategies and advocating the Company's position to regulators. As a member of the core team, the Resolution and Recovery Planning project manager will be responsible for a variety of day to day activities in support of the development and maintenance of the Company's Resolution and Recovery Plans.

<http://jobs.prudential.com/job-description.php?jobReqNo=FED0000D>

**COMPLIANCE**

**VP, Business Ethics - GLO000DK**  
**Compliance**  
**Newark, NJ**

A core value of Prudential is to be worthy of the trust of its customers, employees and shareholders and to further that value maintains an enterprise-wide Business Ethics & Integrity office. We are seeking a senior professional to direct the day-to-day work of this office located in our headquarters in Newark, New Jersey and will report to Prudential's Corporate Chief Ethics Officer, who works in both our Japan and New Jersey offices. The Enterprise Business Ethics Office seeks to positively influence the ethical behavior of our 50,000 employees in our various businesses in the United States and around the world. The Ethics Office is comprised of a corporate center with a staff of 9. This position supervises these professionals. In addition, the Business Ethics & Integrity office also maintains matrix relationships with business unit ethics officers who represent each of Prudential's businesses and corporate functions. The successful candidate will bring familiarity with current practices in the area of business ethics, as well as complementary related functions including Human Resources, Risk Management, Compliance and Law. This Vice President, together with directly supervised corporate center ethics personnel and business unit ethics officers, will address multiple projects related to the ethics program.

<http://jobs.prudential.com/location/Newark-NJ/VPBusinessEthics/GLO000DK>

**VP, Compliance - PRU000M7**  
**Compliance**

## **Newark, NJ**

This position will be located in Newark, NJ. The person will support the CCO in designing and implementing a compliance program for registered investment advisers and registered investment companies that is effective in preventing, detecting and mitigating violations of applicable law or Prudential policies. The person will also support the CCO with the transfer agents compliance program. Candidates should have a deep understanding of the mutual fund business and operations. Candidates should also be well versed in mutual fund administration, transfer agent operations, and mutual fund governance. Candidates should also be skilled in assessing and drafting policies and procedures. He/she will be responsible for providing ongoing compliance support and advice for the day to day activities of PI as well as designing and implementing new strategies and initiatives. The role has responsibility for compliance monitoring, creating and implementing new policies and procedures, reporting, and forensic testing, and tracking and applying regulatory priorities and industry best practices.

<http://jobs.prudential.com/job-description.php?jobReqNo=PRU000M7>

### **Compliance Manager - Investment Compliance Group - INV000CK** **Compliance** **Newark, NJ**

Asset Management Compliance currently has a Manager level position available, supporting PGIM in Newark, New Jersey. PGIM manages approximately \$947 billion in client assets, as of September 30, 2015, in multiple strategies. Asset Management Compliance is responsible for ensuring that all portfolios managed by PGIM are in compliance with applicable regulatory and contractual requirements, and for ensuring that the activities of PGIM associates are in accordance with Prudential's policies. Asset Management Compliance serves as a general resource for resolving legal and interpretive issues relating to the asset management function. The position reports to the Director of Compliance for PMCC to provide day-to-day compliance support to Prudential Mortgage Capital Company (PMCC), the private commercial mortgage investment unit of PGIM. Principal Job Responsibilities: this position provides direct, day-to-day compliance support to PMCC. This is a challenging position with high exposure and strong compliance and regulatory knowledge, attention to detail and solid project management skills are essential. In addition, the position will assist in monitoring business activities to ensure they are performed in compliance with Company policies, securities laws, and SEC, FINRA, and applicable foreign regulations.

<http://jobs.prudential.com/location/Newark-NJ/ComplianceManager/INV000CK>

### **Compliance Regulatory Manager - COM00062** **Compliance** **Roseland, NJ**

The Group Insurance Compliance Department is seeking a candidate to provide regulatory and operational support in connection with Prudential's designation as a non-bank systemically important financial institution (SIFI) under the Dodd-Frank Wall Street Reform and Consumer Protection Act. The

Compliance Regulatory Manager position combines the need for strong interpersonal skills, business analytical capabilities, robust organizational skills and the ability to drive results in order to meet deliverables. This position reports to the Compliance Director, Group Insurance and will be based Dresher, PA or Roseland, NJ. Key responsibilities include: drive the ongoing enhancement of the Group Insurance Compliance Program; assist with further development and enhancement of data and metrics; drive a monitoring, testing, and surveillance Group Insurance Compliance program; creating and maintaining Standard Operating Procedures, workflows and documentation related to existing and new processes as a result of Federal Reserve supervision, working as a liaison across the compliance organization and between business and corporate center partners, Risk and Law in order to meet deliverables related to the Fed readiness efforts, documenting as-is and to-be processes; assist in preparation and delivery of ongoing training and communications to socialize process implementation; monitoring project tasks and plans with the project managers for action plans that result from process changes; prepare status reports for Business and Compliance Management.

<http://jobs.prudential.com/job-description.php?jobReqNo=COM00062>